



**PORTFOLIO MANAGEMENT ASSOCIATION OF CANADA
(PMAC or the "Association")**

**ASSOCIATION DES GESTIONNAIRES
DE PORTEFEUILLE DU CANADA (AGPC)**

**AFFILIATE APPLICATION
INFORMATION PACKAGE**

SECTION

- A. APPLICANT INFORMATION**
- B. AFFILIATE STATUS CRITERIA**
- C. TERMS AND CONDITIONS**

Please return completed application to PMAC via:

- Email – info@portfoliomanagement.org
- Fax – (416) 504-1117
- Mail – PMAC, Attention: Gillian Murch, 1 Toronto Street, Suite 905, Toronto, ON M5C2V6

Payment of \$3,000.00 (+ applicable taxes) may be submitted with application, but it is not a requirement for submission. Your firm will be invoiced shortly after Affiliate Status is approved.

Your privacy is important to us. PMAC collects, use or discloses your personal information for the purposes identified in the PMAC Privacy Policy. Unless you instruct us otherwise, your personal information including email address and your telephone or fax number may be used for marketing PMAC products and services and third party products and services that may be of interest to you.

SECTION A – APPLICANT INFORMATION

We hereby apply to be an Affiliate of the Portfolio Management Association of Canada (PMAC).

Firm Information

Name of Firm: _____

Address (please attach separate pages for additional branch address information):

Telephone: _____

Fax: _____

Firm Email (if applicable): _____

Website: _____

Billing Address (if different from above):

SECTION A – APPLICANT INFORMATION

Firm Staff Information

In order to ensure that we have the correct contact people for PMAC communications, please identify the applicable individuals listed below. Email addresses are needed to set up access to the PMAC Members-Only area of the website and to be added for the distribution lists for the PMAC e-Bulletins.

Canada's anti-spam legislation (CASL) and existing privacy legislation requires us to obtain your consent to receive electronic messages from the Portfolio Management Association of Canada (PMAC). Our electronic messages include important industry news and other information about our events and services.

- I consent to receiving electronic communications from PMAC
- I do not consent to receiving electronic communications from PMAC

If you have any questions or you wish to change or withdraw your consent at any time, please contact Gillian Murch, Accounting & Member Services Coordinator, at gmurch@portfoliomanagement.org or send your request to withdraw in writing to:

PMAC, 1 Toronto Street, Suite 905, Toronto, Ontario, M5C 2V6
(www.portfoliomanagement.org)

Primary Representative to PMAC:

This person will serve as primary contact with PMAC and will receive information update requests and invoices unless otherwise noted.

Name: _____

Title: _____

Email: _____

Telephone: _____

List as a Contact for business with PMAC Members: Yes No

**Please note up to a maximum of 3 individuals can be listed a Contact for business with Association Members*

Other Contact:

Name: _____

Title: _____

Email: _____

Telephone: _____

List as a Contact for business with PMAC Members: Yes No

**Please note up to a maximum of 3 individuals can be listed a Contact for business with Association Members*

Please attach supplemental pages if you would like to add additional Firm Staff.

There is no limit to the number of Firm Staff who may receive Affiliate benefits and be on PMAC's mailing list.

SECTION B – AFFILIATE STATUS CRITERIA

PMAC Affiliate Status is available to major suppliers and/or professional advisors to the investment industry who are considered leaders in their field and who may benefit from participation with the Association and whose participation with the Association may be of benefit to PMAC Members.

1. Please specify connection to the investment industry.
(e.g. Custodian, Consultant, Legal Advisor, Account Advisor, etc. to Portfolio Managers)

2. Please list 3 PMAC Members that are presently clients or customers.
(Please use the following link to view PMAC's full list of Members:
http://www.portfoliomanagement.org/firms/?all_firms=true)

3. How do you hope to participate as an Affiliate and/or utilize your Affiliate Status?

4. Affiliates of the Association are ideally leaders in their field and/or suppliers whose business is focused on the portfolio management segment of the industry. Please describe how your business is aligned with this criteria.

SECTION C – TERMS AND CONDITIONS

Participation in and/or hosting of PMAC events, seminars or conferences is encouraged by Affiliates, but may be limited in certain circumstances, and may be subject to PMAC committee approval.

As an Affiliate of PMAC, you may market your Affiliate Status and/or committee participation with PMAC on your website or in other client marketing materials; however, such marketing activity does not constitute or imply endorsement, sponsorship or recommendation of services by PMAC. It is agreed that PMAC may need to review/approve any use of the PMAC logo or any reference to PMAC in your firm's marketing materials.

It is understood that as part of our service to Members, PMAC may survey Affiliates from time to time.

It is understood that PMAC may limit the number of its Affiliates and Affiliate Status may be refused if we have reached capacity in terms of total affiliates and/or supplier type. PMAC also reserves the right to limit Affiliates' participation on PMAC committees from time to time.

It is understood that the PMAC Board of Directors or Executive Committee may terminate, cancel or temporarily suspend an Affiliate's Status with PMAC if, in its judgement, the Affiliate has violated any material provision of the applicable legislation under which it is registered and/or ceased to meet the conditions for Affiliate Status or for the failure to pay any annual or special fees, dues or assessments.

At the discretion of the Board, if an Affiliate's actions are not in the best interest of the Association, such Status may be terminated.

Dated at _____ this ____ day of _____ 20_____

NAME OF AUTHORIZED OFFICER

SIGNATURE OF AUTHORIZED OFFICER

DATE OF PMAC EXECUTIVE APPROVAL

SIGNATURE OF PMAC PRESIDENT